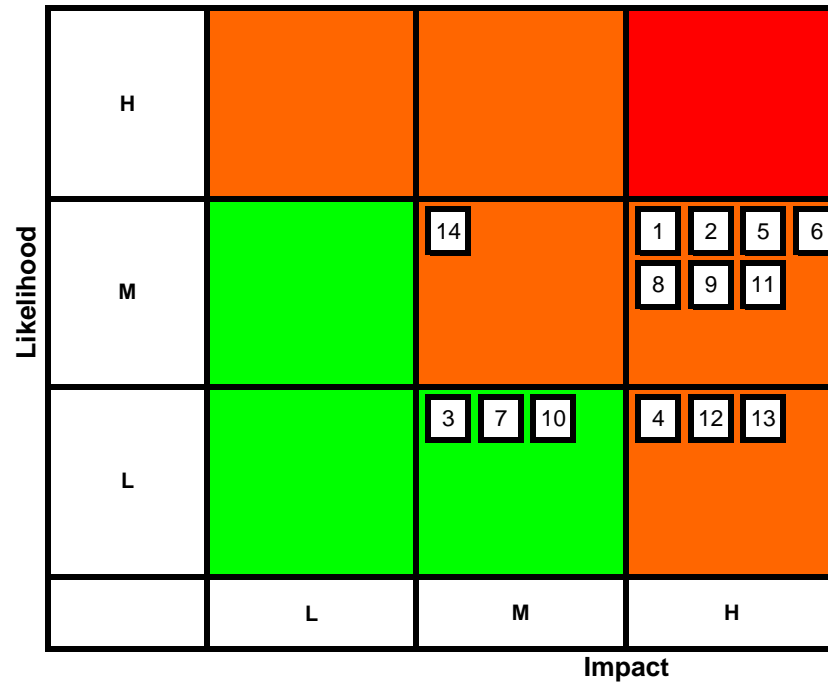


Corporate Risks @ 20/02/2020



Risk #	Risk	Risk Description	Implication on the Council	Risk Owner	Risk Rating	Final Impact	Final Likelihood	Final Risk Rating
1	Breach of health and safety	Failure to comply with relevant health and safety legislation.	Reputational damage. Adverse financial implications. Third party intervention.	Martin Hamilton (Chief Executive)	9	H	M	6
2	Civil emergency	Civil emergency	Significant disruption to service delivery.	Martin Hamilton (Chief Executive)	2	M	L	2
3	Corporate Governance	Failure of Corporate Governance exposes the Council to financial, legal or reputational risk.	Reputational damage. Adverse financial implications. Third party intervention. Impacts on third parties.	Martin Hamilton (Chief Executive)	6	H	L	3
4	Cyber risk	<p>The Council's infrastructure could be compromised by the introduction of malicious software. This could include a traditional destructive virus or another type of incursion such as information gathering software, ransomware, credential harvesting, etc.</p> <p>The threat from Cyber terrorism continues to increase on a global scale and by July 2017, two high profile, highly effective ransomware attacks had already taken place, crippling organisations in both the public and private sector.</p> <p>Everything from non-criminal system failures to criminal activities (be they first or third party) can impact on our ability to operate.</p> <p>•With the new GDPR legislation the risks associated with breaches, made worse by non-compliance to security standards and general best practice, have increased the need to understand our risk landscapes and mitigate them as appropriate.</p>	<p>This risk implies that the Council's network or infrastructure has been compromised and an unknown threat actor who has successfully introduced malicious software such as a virus or ransomware to our environment. It should also be considered that this introduction has or will disrupt services or otherwise compromise the Council's information systems over an undetermined period.</p> <p>The malicious software could have been introduced in any number of ways, such as by a member of staff clicking on a link within an email, the opening of a malicious file or the failure of ICT or a service provider to sufficiently patch and update vulnerable systems. There is also the potential for an attack to make use of a zero-day exploit - something which takes advantage of a previously unknown vulnerability, for which there is no immediate fix or protection.</p>	Jan Willis (Interim Director Resource and Support Services)	9	H	M	6
5	Data Breach	Non-compliance with the Data Protection Act and and General Data Protection Act	Reputational damage. Adverse financial implications. Third party intervention. Impacts on third parties.	Jan Willis (Interim Director Resource and Support Services)	6	H	M	6

6	Air Quality	Failure to monitor and control the air quality in the Borough.	Damage to health / potential legal challenge and further action by Government including intervention in LA Air Quality function. Significant financial implications. Lack of Public Confidence. Reputational damage. Fines if passed down are likely to adversely impact council services. Failure to deliver existing workload commitments and statutory duties	Dave Adams (Executive Director (Operational Services))	9	H	M	6
7	Failure of major insurer	Failure of major insurer	Gaps in insurance coverage. Inability to pursue claims resulting in financial loss. Additional burden on in-house insurance section.	Martin Hamilton (Chief Executive)	2	M	L	2
8	Financial Risk	Council's financial position is unsustainable in the medium to long term.	Council unable to sustain service coverage and quality, potentially requiring large scale workforce reductions and withdrawal from non-statutory activities. Potential for intervention and reputational damage including downgrading of credit worthiness leading to higher borrowing	Jan Willis (Interim Director Resource and Support Services)	6	H	M	6
9	Loss of major contractor	Loss of major contractor or supplier to the Council.	Disruption to supply chains and service delivery resulting in reputational damage.	Martin Hamilton (Chief Executive)	6	H	M	6
10	Loss of operating building	The risk of Castle House or the Depot being unavailable due to an event	Significant disruption to service delivery. Reputational damage.	Martin Hamilton (Chief Executive)	2	M	L	2
11	Safeguarding	Failure of the Borough Council (both officers and Members) to recognise both a moral and legal obligation to ensure a duty of care for children and adults across its services. The Borough Council is committed to ensuring that all children and adults are protected and kept safe from harm whilst engaged in services organised and provided by the Council.	Reputational damage. Legal action. Third party intervention.	Martin Hamilton (Chief Executive)	6	H	M	6
12	Strategic Priorities	Lack of capacity to deliver strategic priorities, and or resource allocation not aligned to strategic priorities	The risk is that the Council will fail to deliver its key priorities as set out in the Council plan.	Jan Willis (Interim Director Resource and Support Services)	6	H	L	3
13	Strategic Projects	Failure to delivery key strategic project or projects	Reputation damage. Financial Implications.	Simon McEneny (Exec Dir (Commercial Development and Economic Growth))	6	H	L	3
14	Workforce	Lack of capacity due to failure to replace key staff or provide resources to cover the work of staff temporarily involved in other priority areas. Failure to train and develop employees to meet the needs of the Council. Failure to implement effective reviews of policies and procedures.	The risk is that the Council will not be able to sustain service quality due to capacity issues or that skills gaps will emerge. This could impact on staff wellbeing, productivity and performance and damage employee relations.	Jan Willis (Interim Director Resource and Support Services)	6	M	M	5
	Major infectious disease	Includes pandemic flu and emerging or newly recognised diseases.	Fatalities; disruption to the supply chain; restriction of movement to try to limit the spread of disease; longevity of risk could go on for months; Major loss of staff and resources; extra service requirement in respect of dealing with fatalities (burial/cremation);	Civil Contingencies	3	H	L	3